

BRAVE FAMILY ADVISORS PRIVACY POLICY AND NOTICE

Our Commitment to Your Privacy:

Protecting our clients' privacy is of utmost importance to us. It is our policy that no nonpublic client information obtained by us shall be sold or made available to third parties except that:

- Third parties may be used by us to assist in the management or maintenance of client accounts (such as a client's custodian);
- Client information may be released in accordance with applicable laws and regulations.

We will not share nonpublic personal information about our clients with nonaffiliated third parties without prior client consent, except for specific purposes as described below. This notice explains our collection, use and safeguarding of client information.

How We Gather Information:

In connection with providing clients with investment management services, we may obtain information about them from the following sources:

- Client agreements and other information that clients provide to us, whether in writing, in person, by telephone, electronically or by any other means. This information may include a client's name, address, phone number, email address, social security number, employment information, income, investment experience, and financial goals; and
- Transactions on the client's behalf. This information may include the client's account balances, positions, investment interests and history.

Sharing Information with Nonaffiliated Third Parties:

We only disclose nonpublic client information to nonaffiliated third parties without prior client consent when we believe it necessary for the conduct of our business or as required or permitted by law, such as:

- If you request or authorize the disclosure of the information;
- To provide client account services or account maintenance including purchases, sales and broker communications;
- To respond to a subpoena or a court order, judicial process, law enforcement or regulatory authorities;
- To provide information to your attorney, trustee or anyone else who represents you in a fiduciary capacity;

- To provide information to our attorneys, accountants, auditors or regulators, as needed;
- In connection with a proposed or actual sale, merger, or transfer of all or a portion of our business or an operating unit; and
- To help us prevent fraud.

We do not make any disclosure of client nonpublic personal information to other companies who may want to sell their products or services to you. For example, we do not sell client lists and we will not sell client names to catalogue companies.

Opt Out Provision:

If, at any time in the future, it is necessary to disclose any client personal information in a way that is inconsistent with this policy, we will give our clients advance notice of the proposed disclosure so that they will have the opportunity to opt out of such disclosure.

Former Clients:

Our Privacy Policy continues to apply to all former clients.

To Whom This Policy Applies:

This Privacy Policy applies to individuals who obtain or have obtained services from us to be used primarily for personal, family, or household purposes.

Our Security Practices and Information Accuracy:

We take steps to safeguard client information. We restrict access to the personal and account information of our client to our employees and agents who need to know in order to provide services to you. We maintain physical, electronic and procedural safeguards to guard your personal information.

Additionally, we have internal controls to keep client information as accurate and complete as we can. If you believe that any information about you is not accurate, please let us know.

Other Information:

We reserve the right to change this Privacy Policy. If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. The examples contained within this Privacy Policy are illustrations and they are not intended to be exclusive. If you have any questions about our Privacy Policy, please contact our Firm's Chief Compliance Officer at (908) 522-8822.